
ANALYST for INVESTMENTS/PORTFOLIOS and STRATEGIC DEVELOPMENT

INDUSTRY LICENSES/DESIGNATIONS

Level II Candidate in the CFA Program. *Passed Level I on the first attempt.*

Accredited Investment Fiduciary – AIF®
FINRA Series 7 & 66 (current), FINRA Series 3 (inactive)

FINANCE EXPERIENCE

Boutique PWM Shop, Inc. – Somewhere, CA

Analyst/Advisor

10/2012 → 11/2013

- Key member/decision-maker of Investment Management Team responsible for portfolio design, statistical analysis, hedging strategies, and scenario modeling for client portfolios totaling over \$175MM of AUM.
- Performed extensive due diligence research with respect to investment manager and individual security selection.
- Developed first-use managerial accounting and NPV models for firm profitability & project analysis.
- Generated firm-wide operational policies to boost information flow and client-support efficiency.

Failedstartup.com – Somewhere, CA

Strategic Consultant

05/2010 → 08/2010

- Performed competitive intelligence research and analysis to determine market share availability and capture strategies.
- Utilized data analysis and comparison to improve data delivery processes and overall product performance.

First Exposure to Finance Corp – Somewhere, CA

Broker

07/2000 → 02/2001

- On-boarded and managed 20+ clients for futures and commodities trading and transactions.
- Acknowledged as firm's first Broker to ever obtain the FINRA Series 3 license in less than two weeks from hire date.

ADDITIONAL EMPLOYMENT HISTORY

Professional Musician – Los Angeles, CA

05/1998 → 08/2012

- Negotiated 35-40 oral/written contracts involving ASCAP and BMI performance compensation and succeeded in procuring both publishing and songwriting royalties via most-recent arbitration.
- Performed more than 2000 concerts with high-profile celebrities across 12 countries, spanning three continents, to audiences with up to 50,000 attendees.
- Received Certificate of Completion in Professional Performance Program with Music Business emphasis from the Musician's Institute – *Hollywood, CA.*

EDUCATION

Bachelor of Science (B.S.) ▪ Finance ▪ Major GPA 3.53 – 2012 ▪ Dean's List – Spring 2009 & 2012
Practical State University Given My Age/Situation – Somewhere, CA

RELEVANT SKILLS & SOFTWARE KNOWLEDGE

DCF Valuation Analysis · MS Excel Modeling · Statistical Analysis · Options & Trading Strategies
MS Office Suite · Morningstar Advisor · Hidden Levers · Kwanti · fi360